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JC965 U.S. PTO

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LIMBACH & LIMBACH L.L.P.
2001 Ferry Building, San Francisco, CA 94111
415/433-4150

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09/735760
12/13/00

Address to:

Box Patent Application
Commissioner for Patents
Washington, D.C. 20231

Attorney's Docket No. SONY-U0200

First Named Inventor KAZUO WATANABE

UTILITY PATENT APPLICATION TRANSMITTAL
(under 37 CFR 1.53(b))

SIR:

Transmitted herewith for filing is the patent application entitled:
METHOD AND APPARATUS FOR MANAGING SOFTWARE USE

CERTIFICATION UNDER 37 CFR § 1.10

I hereby certify that this New Application and the documents referred to as enclosed herein are being deposited with the United States Postal Service on this date December 13, 2000, in an envelope bearing "Express Mail Post Office To Addressee" Mailing Label Number EL776051797US addressed to: Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.

LANA T. BRENNER

(Name of person mailing paper)

[Signature]
(Signature)

Enclosed are:

1. ☒ Transmittal Form (two copies required)
2. The papers required for filing date under CFR § 1.53(b):
 - i. 19 Pages of specification (including claims and abstract);
 - ii. 6 Sheets of drawings.
☒ formal ☐ informal
3. Declaration or oath
 - a. ☒ Newly executed - Combined with Power of Attorney

ACCOMPANYING APPLICATION PARTS

4. ☒ An assignment of the invention to Sony Corporation is attached (including Form PTO-1595).
 - i. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
5. ☒ Power of Attorney - Combined with Declaration
6. ☒ An Information Disclosure Statement (IDS) is enclosed, including a PTO-1449 and copies of 2 references.
7. ☐ Preliminary Amendment.
8. ☒ Return Receipt Postcard (MPEP 503 -- should be specifically itemized)
9. FOREIGN PRIORITY
 - [x] Priority of application no. P11-361221 filed on December 20, 1999 in Japan is claimed under 35 USC 119.

The certified copy of the priority application:

- ☒ is filed herewith; or
☐ has been filed in prior application no. filed on , or
☐ will be provided.

☐ English Translation Document (if applicable)

10. FEE CALCULATION

- a. ☐ Amendment changing number of claims or deleting multiple dependencies is enclosed.

CLAIMS AS FILED

	Number Filed	Number Extra	Rate	Basic Fee (\$710)
Total Claims	14 - 20	* 0	x \$18.00	0
Independent Claims	4 - 3	* 1	x \$80.00	80.00
<input type="checkbox"/> Multiple dependent claim(s), if any			\$270.00	0

*If less than zero, enter "0".

Filing Fee Calculation \$790.00

50% Filing Fee Reduction (if applicable) \$

11. Small Entity Status

- a. ☐ A small entity statement is enclosed.
b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
c. ☐ is no longer claimed.

12. Other Fees

- ☒ Recording Assignment [\$40.00] \$40.00
☐ Other fees
Specify _____ \$0

Total Fees Enclosed \$830.00

13. Payment of Fees

- ☒ Check(s) in the amount of \$ 830.00 enclosed.
☐ Charge Account No. 12-1420 in the amount of \$ ____.
A duplicate of this transmittal is attached.

14. All correspondence regarding this application should be forwarded to the undersigned attorney:

Roger S. Sampson
Limbach & Limbach L.L.P.
2001 Ferry Building
San Francisco, CA 94111
Telephone: 415/433-4150
Facsimile: 415/433-8716

15. Authorization to Charge Additional Fees

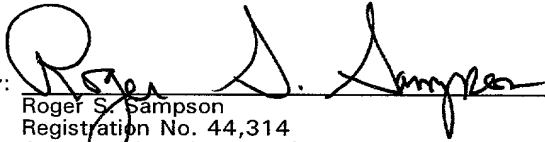
- ☒ The Commissioner is hereby authorized to charge any additional fees (or credit any overpayment) associated with this communication and which may be required under 37 CFR § 1.16 or § 1.17 to Account No. 12-1420. **A duplicate of this transmittal is attached.**

LIMBACH & LIMBACH L.L.P.

December 13, 2000
(Date)

Attorney Docket No. SONY-U0200
[SOOP1200US00]

By:


Roger S. Sampson
Registration No. 44,314
Attorney(s) or Agent(s) for Applicant(s)